

416 Rec'd PCT/PTO

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In re the Application of : Alexander V. Kabanov et al.  
United States Serial No. : 09/445,656  
International Application No. : PCT/US98/12139  
International Filing Date : June 11, 1998  
Entitled : COMPOSITIONS FOR DELIVERY OF  
BIOLOGICAL AGENTS AND  
METHODS FOR THE PREPARATION  
THEREOF  
Our File No. : 0685-UNMC-63117AUS

PETITION FOR EXTENSION UNDER 37 C.F.R. §1.136(a)

The undersigned hereby petitions for an extension of time of four (4) months beyond the time period set in the last office communication. The proper fee under 37 C.F.R. §1.17 is enclosed.

July 14, 2000  
Date of Certificate

Patrick J. Hagan  
Patrick J. Hagan

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope properly addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

July 14, 2000  
Date of Certificate

Patrick J. Hagan  
Patrick J. Hagan

Box PCT  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

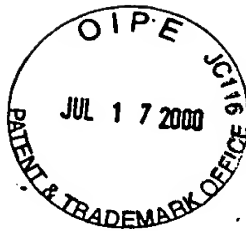
We are completing herewith the above-identified U.S. patent application of:

Inventor: Alexander V. Kabanov 07/19/2000 ERIMANDO 00000126 09445656  
Entitled: COMPOSITIONS FOR DELIVERY OF BIOLOGICAL AGENTS AND  
METHODS FOR THE PREPARATION THEREOF

The complete application includes the following:

0 Pages of Specification

Filed \_\_\_\_\_



0 Number of Claims Filed \_\_\_\_\_  
0 Page of Abstract Filed \_\_\_\_\_  
0 Sheet(s) of Drawing Filed \_\_\_\_\_  
3 Page(s) of Substitute Declaration,  
Power of Attorney and  
Power to Inspect Filed Herewith  
0 Assignment including  
Recordation Cover Sheet Filed \_\_\_\_\_  
0 Number of Verified Statement(s)  
Under 37 C.F.R. §§1.9 and 1.27 to  
establish Small Entity Status Filed \_\_\_\_\_  
1 Page of Copy of Form PCT/DO/EO/905 Filed Herewith

[X] A check in the amount of \$680.00 is enclosed. In the event the check is improper, or the fee calculation is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, Account No. 04-1406.

[ ] A duplicate copy of this sheet is enclosed.

Please address all communications to the following:

**CUSTOMER NUMBER 000110**

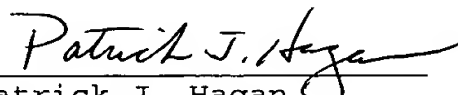
or

Patrick J. Hagan, Esq.  
Dann Dorfman Herrell and Skillman, P.C.  
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Telephone: (215) 563-4100  
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Please direct all inquiries to the undersigned attorney.

Respectfully submitted,

  
Patrick J. Hagan  
Reg. No. 27,643

PJH:ksk

09/445656


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/445,656 FIRST NAMED APPLICANT KATHLEEN D RIGAUT ATTY. DOCKET NO. A UNMC 63117A-

JUL 17 2000

 KATHLEEN D RIGAUT  
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5611

INTERNATIONAL APPLICATION NO.

PCT/US98/12139

I.A. FILING DATE

PRIORITY DATE

06/11/98

06/13/97

DATE MAILED:

02/16/00

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

 1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_.

☒ Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other: \_\_\_\_\_

 2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

 3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claim for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

 4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

 Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703)

305-3661